

JC891 U.S. PTO

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21 Preb

PATENT  
Atty. Dkt. No.: 7451.0001-16  
InterTrust Ref No.: IT 5.6.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Prior Application: 09/208,017

Filed: December 9, 1998

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT  
AND ELECTRONIC RIGHTS PROTECTION

**Group Art Unit:** Not Yet Assigned

**Examiner:** Not Yet Assigned

SIR:

This is a request for filing a  Continuation  Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995, now abandoned

1.  Enclosed is a complete copy of the prior application as originally filed including the drawings and Declaration. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/208,017, as originally filed on December 9, 1998.
  2.  Enclosed is a substitute specification under 37 C.F.R. § 1.125.
  3.  Cancel Claims 1-90.
  4.  A Preliminary Amendment is enclosed.
  5.  The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

LAW OFFICES

**FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-8600**

-1-

For	Number Filed	Number	Extra	Rate	Basic Fee	\$710.00
Total Claims	44	-20=	24	x\$18.00=		\$432.00
Independent Claims	3	-3 =	0	x\$80.00=		\$0.00
Multiple Dependent Claim(s) (if applicable)			\$260.00=			
			Total			\$1,142.00
			Reduction by 2 for filing by small entity			
			TOTAL FILING FEE			\$1,142.00

- Q1
6.  A check in the amount of \$1,142.00 to cover the filing fee is enclosed.
  7.  The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
  8.  Amend the specification by inserting before the first line, the sentence:

6/25/03  
07/24/03

This application is a continuation of commonly assigned copending application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995 (now abandoned), all of which are incorporated herein by reference.

9.  New formal drawings are enclosed.
10.  The prior application is assigned of record to: InterTrust Technologies Corporation.
11.  Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. ' 119. A certified copy
 

is enclosed or     is on file in the prior application.
12.  A verified statement claiming small entity status
 

is enclosed or     is on file in the prior application.
13.  A copy of a new power of attorney is enclosed. This power of attorney replaces the power of attorney filed in the prior application.

14. ■ Please address all correspondence to FINNEGAN, HENDERSON,  
FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W.,  
Washington, D.C. 20005-3315.

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 09/208,017, filed December 9, 1998, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: October 27, 2000

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

DEPARTMENT OF COMMERCE

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# BEST AVAILABLE COPY

## CODE SHEET FOR CONTINUING DATA

Line	Code	Serial No.	Filing Date	Status	Document No.	Issue Date
104	71	091208 017	12-9-98	01	6,253,193	
105	81	081964 333	11-4-97	01	5,982,891	
106	81	081388 107	2-13-95	03		
107						
108						
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114						
115						
116						
117						

### Condition and Status Codes for Continuing Data

#### CONDITION CODE

- 71 Continuation of application No.  
which is a continuation of application No.  
and a continuation of application No.
- 72 Continuation-in-part of application No.  
which is a continuation-in-part of application No.  
and a continuation-in-part of application No.
- 74 Division of application No.  
which is a division of application No.  
and a division of application No.
- 86 , said application No.  
89 Application No.  
90 and application No.  
92 each
- 65 filed as application No.  
66 Substitute for application No.  
68 Provisional application No.

#### STATUS CODE

- 01 Patent No.  
03 abandoned  
04 SIR No.

NOTE I: When the codes 86 and 92 are used, they must be followed by 81, 82 or 84 – conditions beginning with “which is”

NOTE II: Codes 71, 72 and 74 may be used only on the first line; one of them must be used on the first line in regular continuing data. 66 or 68 may be used on the first line in Substitute or Provisional cases. Remember, however, that if there is a Provisional and other continuing data, the Provisional is always listed last.